

# 1041<sup>2021</sup>

**SOEP** Survey Papers  
Series D – Variable Descriptions and Coding

## SOEP-Core v36 – HBRUTTO: Household-Related Gross File

SOEP Group

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Editors:

Dr. Jan Goebel, DIW Berlin  
Prof. Dr. Stefan Liebig, DIW Berlin and Freie Universität Berlin  
Prof. Dr. David Richter, DIW Berlin and Freie Universität Berlin  
Prof. Dr. Carsten Schröder, DIW Berlin and Freie Universität Berlin  
Prof. Dr. Jürgen Schupp, DIW Berlin and Freie Universität Berlin  
Prof. Dr. Sabine Zinn, DIW Berlin and Humboldt-Universität zu Berlin

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DIW Berlin  
German Socio-Economic Panel (SOEP)  
Mohrenstr. 58  
10117 Berlin  
Germany

[soeppapers@diw.de](mailto:soeppapers@diw.de)

# SOEP-Core v36 – HBRUTTO: Household-Related Gross File

SOEP Group

2021

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## 1 Overview

HBRUTTO covers all households, who were successfully interviewed or were contacted for the purpose of being interviewed again.

The data sets provide gross cross-sectional information on all SOEP households' interviews as well as their positions in the panel frame work. Therefore, the variables HID, SAMPLE1, and CID are included in the datasets.

The data sets also contain information on:

- The household's region
- Gross information on the persons living in the household
- The date of the last interview
- Address and contact information
- The date of the last interview
- Interviewer and contact information
- Work form information
- Incentive and additional information

## 2 Identifiers and Sampling

**hid** – Current wave household number

---

Identifier for the household at the time of the interview.

**cid** – Original Household Number (Case ID)

---

Case Id - Household Source Identifier (fixed) to which the current household can be traced back to. This variable is relevant for sampling information and calculating the weights.

**sample1** – Subsample Identifier

---

1	A German HH Head, West, 1984	117121
2	B1 Turkish HH Head, 1984	10318
3	B2 Yugoslav HH Head, 1984	6637
4	B3 Greek HH Head, 1984	3816
5	B4 Italian HH Head, 1984	6701
6	B5 Spanish HH Head, 1984	3147
7	C German HH Head, East, 1990	54104
8	D1 Immigrant W. Germany (1984-1994), 1994/95	5559
9	D2 Immigrant W. Germany (1984-1994), 1994/95	4121
10	E1 Refreshment (PAPI), 1998	6235
11	E2 Refreshment (CAPI), 1998	5864
12	F1 Refreshment, 2000	73604
13	F2 Refreshment, Additional Foreigner Addresses, 2000	1983
15	G2 High Income, 2002	15301
16	H Refreshment, 2006	13701
...	(7 rows omitted)	67682
33	N Refreshment (from PIAAC-L), 2017	7302
34	M5 Persons in Need of Protection Refreshment, 2017	4625
35	O Refreshment 2018	1887
36	[de] Aufstockung 2019/Stichprobe P	1960

37	[de] Aufstockung 2019/Stichprobe Q	477
60	L2/3 FiD Screening, Low Income, 2010/11	8932
61	L2/3 FiD Screening, Single Parent, 2010/11	8424
62	L2/3 FiD Screening, Families with Several Children, 2010/11	9279
63	L1 FiD Birth Cohort 2007, 2010/11	3880
64	L1 FiD Birth Cohort 2008, 2010/11	4027
65	L1 FiD Birth Cohort 2009, 2010/11	3702
66	L1 FiD Birth Cohort 2010, 2010/11	3895
-2	Does not apply	0
-7	Only available in less restricted edition	0
-8	Question this year not part of survey	0

Unchanging subsample information: for example, a household from Sample C would retain this original sample number (the sample in which the household first entered SOEP) even after moving to West Germany (see also the comments on the variables SAMPREG).

### **syear** – Survey Year

---

1984	5921
1985	6142
1986	5878
1987	5512
1988	5403
1989	5213
1990	7270
1991	7335
1992	7359
1993	7334
1994	7431
1995	7717
1996	7529
1997	7423
1998	8426
... (6 rows omitted)	77636
2005	12836
2006	14059
2007	13410
2008	12651
2009	13394
2010	17538
2011	19039
2012	19321
2013	20962
2014	19699
2015	19390
2016	21222
2017	23772
2018	23538
2019	23924



Survey year (Reference Year for Survey instruments)

### hd – Sample Membership

---

1	D1	243
2	D2	309
-2	Does not apply	6977
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	446755

Subsample Information (Sample D)

### hgruppe – Group Member

---

1	Germans From Old E Germany	174
2	Germans From Old Eastern Bloc	250
3	Other Germans	20
4	Other	81
5	East-Bloc Exc. Yugoslavia	41
6	EU	35
7	From 3Rd World	45
-2	Does not apply	7071
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	446567

This variable indicates specific groups of nations (Sample D, 1995).

### mkz1 – Migrant Code 1

---

1	Address Without Clear Mig. Background	1435
2	Adress With Mig. Background EMA	384
3	Adress With Mig. Background Onomastic	255
-2	Does not apply	2500
-5	Not Included In Questionnaire Version	12964
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	436746

This variable contains information on Migration Sampling (1)

### mkz2 – Migrant Code 2

---

1	Germany	1435
2	Migrant/Foreigner Basis	362
3	Migrant/Foreigner Top Up	277
-2	Does not apply	2500
-5	Not Included In Questionnaire Version	12964
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	436746

This variable contains information on Migration Sampling (2)

#### sampel – Subsample Identifier

---

1	German HH Head	12474
2	Turkish HH Head	1115
3	Yugoslav HH Head	775
4	Greek HH Head	506
5	Italian HH Head	772
6	Spanish HH Head	486
-1	No answer	0
-2	Does not apply	0
-3	Not valid	0
-4	Forbidden Multiple Response	0
-5	Not Included In Questionnaire Version	0
-6	Questionnaire Version With Modified Filter	0
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	438156

This variable contains information on the Sampling indicator

#### socurban – Urban development programme: Social City

---

1	Region outside development area Social city	313155
2	Region within development area Social City	24315
-3	Not valid	6622
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	110192

This variable contains information on the Sampling Soziale Stadt

#### nextwave – Gross next wave

---

1	[de] Ja	5033
2	[de] Nein	1060
-1	No answer	0
-2	Does not apply	0
-3	Not valid	0
-5	Not Included In Questionnaire Version	17831
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	430360

### 3 Regional Variables

#### sampreg – Current Sample Region

---

1	West Germany	314120
2	East Germany	91344
-2	Does not apply	146

-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	48674

This variable indicates the household's regional location – East-West-Germany. Since 2009 regional assignments to East and West within the state of Berlin are approximated by zip-code-information.

#### **bula\_v1** – Federal states, statistical codes [1984-1999]

---

1	[de] Schleswig-Holstein	2902
2	[de] Hamburg	2024
3	[de] Niedersachsen	9470
4	[de] Bremen	1058
5	[de] Nordrhein-Westfalen	23701
6	[de] Hessen	8832
7	[de] Rheinland-Pfalz,Saarland	6291
8	[de] Baden-Wuerttemberg	15891
9	[de] Bayern	15339
11	[de] Berlin	5051
12	[de] Brandenburg	3467
13	[de] Mecklenburg-Vorpommern	2276
14	[de] Sachsen	6313
15	[de] Sachsen-Anhalt	3896
16	[de] Thueringen	3584
-1	No answer	11
-2	Does not apply	76
-3	Not valid	10
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	344092

This variable indicates the federal state (Bundesland), in which the household is currently located.

#### **bula\_v2** – Federal states, statistical codes [2000-2019]

---

1	[de] Schleswig-Holstein	12001
2	[de] Hamburg	6196
3	[de] Niedersachsen	31270
4	[de] Bremen	2864
5	[de] Nordrhein-Westfalen	71070
6	[de] Hessen	24703
7	[de] Rheinland-Pfalz	16355
8	[de] Baden-Wuerttemberg	40412
9	[de] Bayern	51711
10	[de] Saarland	3759
11	[de] Berlin	14617
12	[de] Brandenburg	13724
13	[de] Mecklenburg-Vorpommern	7840
14	[de] Sachsen	21518
15	[de] Sachsen-Anhalt	12473

16	[de] Thuringen	12883
-1	No answer	0
-2	Does not apply	696
-3	Not valid	0
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	110192

This variable indicates the federal state (Bundesland), in which the household is currently located.

#### bula\_h – Federal states, statistical codes [harmonised]

---

1	[de] Schleswig-Holstein	14903
2	[de] Hamburg	8220
3	[de] Niedersachsen	40740
4	[de] Bremen	3922
5	[de] Nordrhein-Westfalen	94771
6	[de] Hessen	33535
7	[de] Rheinland-Pfalz,Saarland	22646
8	[de] Baden-Wuerttemberg	56303
9	[de] Bayern	67050
10	[de] Saarland	3759
11	[de] Berlin	19668
12	[de] Brandenburg	17191
13	[de] Mecklenburg-Vorpommern	10116
14	[de] Sachsen	27831
15	[de] Sachsen-Anhalt	16369
16	[de] Thuringen	16467
-1	No answer	11
-2	Does not apply	772
-3	Not valid	10
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	0

#### bula\_ew – Federal states, statistical codes (East-West Version)

---

21	[de] Westdeutschland/West-Germany	345849
22	[de] Ostdeutschland/East-Germany	107642
-1	No answer	11
-2	Does not apply	772
-3	Not valid	10
-5	Not Included In Questionnaire Version	0
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	0

#### regtyp – Spatial category by BBSR

---

1	Urban regions	302826
2	Rural regions	143036
-1	No answer	8422

-2	Does not apply	0
-7	Only available in less restricted edition	0

This variable indicates the household's regional settlement structure/ spatial character.

### wum1 – Residential Area 1

---

1	Farm House	11323
2	1-2 Fam.,House	124391
3	1-2 Fam.,Row House	64887
4	Apt In 3-4 Unit Bldg	48024
5	Apt In 5-8 Unit Bldg	87963
6	Residential building with 9 and more apartments, no skyscraper	66322
7	High-rise building, 9 and more storeys, unlimited number of apartments	7763
8	Other	1040
9	no answer	2857
-1	No answer	15677
-2	Does not apply	113
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	23924

This variable indicates the real estate type of the household's dwelling.

### wum2 – Residential Area 2

---

1	No Dormitory	412046
2	Youth Resid. Dorm	235
3	Student Dormitory	814
4	Workers Dormitory	557
5	Old-Age Home	770
6	Old Peoples Home	894
7	Other	601
8	hotel	136
9	no answer	660
-1	No answer	366
-2	Does not apply	13281
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	23924

This variable indicates whether the household is a privat residence or part of an institution.

### wum3 – Residential Area 3

---

1	Residential Area Old	161993
2	Residential Area New	146704
3	Mixed Resid., Comm Area	98535
4	Commercial Area	2546
5	Industrial Area	3104
6	Other	1638

9	no answer	3264
-1	No answer	12476
-2	Does not apply	100
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	23924

This variable indicates the household's quarter type.

#### wum4 – Residential Area 4 - Type Of Estate

---

1	Metro,Industrial Area	809
2	Industrial, Agricultural Area	456
3	Farming Area	820
-1	No answer	94
-2	Does not apply	5091
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	447014

This variable indicates the household's regional type.

#### bulaold – Old Federal States-West German

---

0	Berlin	197
1	Schleswig-Holstein	162
2	Hamburg	116
3	Lower Saxony	533
4	Bremen	61
5	North Rhine-Westphalia	1342
6	Hesse	508
7	Rhineland-Palatinate, Saarland	358
8	Baden-Wuerttemberg	918
9	Bavaria	865
-2	Does not apply	2275
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	446949

Formerly used coding of BULA.

#### bulaold\_ew – Old Federal States-West German (East-West Version)

---

21	[de] Westdeutschland/West-Germany	4863
22	[de] Ostdeutschland/East-Germany	197
-1	No answer	0
-2	Does not apply	2275
-5	Not Included In Questionnaire Version	0
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	446949

## 4 Information on Persons in the Household

Information on the household's inhabitants:

### hhgr – Current Household Size

---

0	[0] HH Dissolved/ Not Processed	3838
1		115121
2		147115
3		76060
4		70654
5		28201
6		8533
7		2968
8		967
9		434
10		216
11		87
12		43
13		37
14		3
15		2
16		3
17		2
-7	Only available in less restricted edition	0

This variable indicates the number of persons currently living in the household.

### hpmax – Highest Person Number In Household

---

-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	29845

This variable indicates the highest serial number of persons within the current household.

### befhpmax – Person Surveyed

---

0		713
1		30451
2		47503
3		8914
4		3649
5		918
6		207
7		81
8		15
9		3
10		2
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	361828

This variable indicates the highest serial number of respondents within the current household.

### pteil – Respondent In Household

---

0	16908	
1	36981	
2	31697	
3	4920	
4	1575	
5	276	
6	63	
7	29	
8	7	
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	361828

This variable indicates the number of participants in the household.

### screenerg – Screening result CATI

---

11	[de] Zielgruppenzugehörig (Homo- oder Bisexuell, offene Nennung)	452
12	[de] Zielgruppenzugehörig (Gleichgeschlechtlicher Partner)	0
13	[de] Zielgruppenzugehörig (Genderdivers)	7
14	[de] Zielgruppenzugehörig (Genderdiverser Partner im HH)	0
15	[de] Zielgruppenzugehörig (Geburtsgeschlecht abweichend von Selbstzuschreibung)	17
16	[de] Zielgruppenzugehörig (Eingetragene gleichgeschlechtliche Partnerschaft)	1
20	[de] Screenout: Nicht zielgruppenzugehörig	0
98	[de] Minderjährig, nicht befragt	0
99	[de] Screenout: Thematische Verweigerung	0
-1	No answer	0
-2	Does not apply	0
-3	Not valid	0
-5	Not Included In Questionnaire Version	23447
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	430360

## 5 Date of Last Contact with Household

Information on the last contact with the household:

### datumtg – Date: day of the last contact with household (TT)

---

0	No Contact	1718
-1	No answer	423
-2	Does not apply	126
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	5921



Day of last interview

**datummo** – Date: Month of the last contact with household (MM)

---

0	No Contact	2965
-1	No answer	193
-2	Does not apply	405
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	5921

Month of last interview

**datumy** – Calendar Year Of Interview

---

-5	Not Included In Questionnaire Version	20213
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	232485

Year of last interview

## 6 Address and Contact Information

Information on the household's address:

**htyp** – Household Type

---

1	age hh, adr. Not changed	364334
2	Old HH, New Address	34076
3	Old HH, Temp.Mis.	3356
4	New HH, Temp.Mis.	3357
5	New Household	43240
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	5921

This variable indicates whether a household moved since the last interview

**hader** – result of address search

---

1	Moved, New Address located	35563
2	Moved, No New Address	1030
3	New adress not found, post/EWA old adress	1404
4	Not found, not registered at EWA	593
5	Moved To Foreign Country	1167
6	Has Died	1648
7	Household Clearance/Relocation in other panel hh	587
8	Information Blocked, Reporting Act	34
-1	No answer	9
-2	Does not apply	406328

-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	5921

HADER shows whether or not the address of a household, who is founded or has moved in the current wave, was successfully obtained.

#### hadq – Source of address information

---

1	Interviewer	15171
2	Mail	9166
3	Resident Registrar	14918
4	Target Person	2402
5	Customer	371
-1	No answer	8
-2	Does not apply	406327
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	5921

HADQ indicates the source for the information in HADER (see above).

#### htel – Telephone Known

---

1	Yes	324065
-2	Does not apply	44175
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	86044

This variable indicates whether the household's telephone number is known or not.

#### wein\_v1 – Wave Move in to this address [1992-1995]

---

-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	424443

This variable indicates the year since the household has its current address.

#### wein\_v2 – Wave Move in to this address [1996-1999]

---

84	6820
85	356
86	466
87	463
88	477
89	569
90	4766
91	830
92	927
93	1122
94	1946

95		2540
96		2914
97		2291
98		2966
99		1168
-2	Does not apply	1056
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	422607

This variable indicates the year since the household has its current adress.

### wein\_v3 – Year since HH has this address [2000-2018]

---

1984		14611
1985		705
1986		832
1987		892
1988		865
1989		906
1990		9688
1991		1366
1992		1348
1993		1614
1994		2378
1995		3393
1996		2994
1997		3185
1998		10462
...	(8 rows omitted)	123440
2007		6517
2008		5249
2009		7490
2010		28138
2011		28438
2012		14861
2013		17533
2014		6716
2015		8957
2016		12244
2017		16451
2018		7530
2019		5289
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	110192

This variable indicates the year since the household has its current adress.

### email – Email address Known

---

1	Yes	89972
-2	Does not apply	238561
-3	Not valid	1506
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	124245

This variable indicates whether the household's E-mail address is known or not.

#### ausk – Person Number Providing Info

---

-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	426136

This variable indicates the current serial number [PNRAKT] of the responding person for household instruments

#### auskv – Person Number Providing Info Prev Yr

---

-1	No answer	284
-2	Does not apply	14885
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	411531

as AUSK – previous year

#### haderq – Address Check Two-Digit

---

0	8
11	1494
12	758
13	765
14	52
21	78
22	21
23	20
32	1
33	165
41	6
43	78
51	129
52	20
53	74
54	1
61	122
62	60
63	21
71	57
72	5
73	15

83		2
-2	Does not apply	38801
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	411531

information for household addresses – (HADER, HADQ)

#### waus – Wave When Moved Away

---

-2	Does not apply	7359
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	446925

#### mob – Landline / Dual-Frame / Mobil

---

0	[de] Festnetz	21
1	[de] Mobil im Dualframe	112
2	[de] Festnetz im Dualframe	267
3	[de] Mobilstichprobe Exclusivscreeener	77
-1	No answer	0
-2	Does not apply	0
-3	Not valid	0
-5	Question not part of the survey program this year	23447
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	430360

## 7 Interview Information

#### intza – Number Of Interviewers

---

0	No Interviewer Used	63944
1		375729
2		8332
3		320
4		30
5		6
6		2
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	5921

This variable indicates the number of interviewers, who surveyed the household during the current wave.

#### intid – Interviewer ID

---

-1	No answer	594
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	5921

The Interviewer ID in the current wave.

### intid1 – ID First Interviewer

---

-1	No answer	7855
-2	Does not apply	7
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	91363

The ID of the interviewer, who interviewed the household first in the current survey wave.

### intk\_v1 – Interview contacts [1985-2018]

---

0	[de] Keine Feldbearbeitung	61298
1		70545
2		123672
3		70121
4		39829
5		22484
6		12359
7		7886
8		5087
9	[de] oder mehr Kontakte	11158
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	29845

Number of interviewer contacts during the current survey wave.

### intk\_v2 – Number of Interview contacts [1985-2018]

---

0	[de] Keine Feldbearbeitung	2702
1		2643
2		6134
3		4294
4		2883
5		1731
6		1056
7		730
8		536
9		452
10		224
11		167
12		98
13		83
14		42
...	(6 rows omitted)	121
21		9
22		1
23		4

24		1
25		2
26		3
27		2
28		2
29		1
30		1
36		2
-1	No answer	0
-2	Does not apply	0
-7	Only available in less restricted edition	0
-8	[de] Frage in diesem Jahr nicht Teil des Frageprogramms	430360

### telk1 – Survey Telephone Contact

---

0	Tel. Processing Trial, telephone number not found	25617
1	Tel. nobody reached / Situation unclear	2714
2	Tel. Processing Possibility clear	39490
3	Tel. Reminder send questionnaire	7154
-2	Does not apply	371428
-5	Not Included In Questionnaire Version	1960
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	5921

This variable shows the result of a telephone interview attempt for households who were unsuccessfully covered by an interviewer.

### telk2 – Re-Survey Telephone Contact

---

0	Telephone number not found	24872
1	Make Up No Success	620
2	Make Up Success	1966
-2	Does not apply	396981
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	29845

This variable shows the result of a post-interview resurvey attempt, in cases of missing information in the household and/or personal questionnaire.

### schk – Contact By Mail

---

1	Cover Letter-No Response	12036
2	Cover Letter and Response	23482
-1	No answer	10
-2	Does not apply	412835
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	5921

This variable shows the result of a mail interview attempt for households who are interviewed in this form.

**catik** – Number of contacts, CATI

---

1		1
2		3
3		3
4		2
6		1
-2	Does not apply	1950
-5	Not Included In Questionnaire Version	21964
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	430360

**capierg** – CAPI-Result

---

1	HH Successful	5927
2	HH Not Successful	2690
-2	Does not apply	5922
-5	Not Included In Questionnaire Version	117006
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	322739

Results from Capi-Interview

**catieryg** – CATI-result

---

1	TP says:	1212
2	TP: Intention to Participate	4161
3	TP: Not Sure	367
4	TP: Requests Login Data	275
5	TP: No Internet	53
6	TP: Wish for CAPI	294
7	TP: Refusal	304
8	TP: Unreachable	1490
9	Wrong Telephone Number	780
-2	Does not apply	7563
-5	Not Included In Questionnaire Version	115046
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	322739

Results from Cati-Interview

**cawierg** – CAWI-Result

---

1	HH Successful	4897
2	HH Not Successful	5369
-2	Does not apply	4273
-5	Not Included In Questionnaire Version	117006
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	322739



## Results from Cawi-Interview

**drop** – Temporary drop-out (ex post)

---

0	Gross data according to Codebook	23661
1	Temp. failure (ex post)	111
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	430512

Variable indicates temporary dropouts (deleted household interviews)

**hdatrep** – Data Report

---

1	Data Report Requested	202
-2	Does not apply	7221
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	446861

Datenreport (Panel-Pflege)

**kinder** – Children Survey

---

1	Brutto for Children Survey	7187
-2	Does not apply	5252
-5	Not Included In Questionnaire Version	58795
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	383050

population for „kinder“-instrument

**luecke** – Missing In Previous Year

---

0	Does not apply	25517
2	Interview, Prev Year Missed	415
3	Missing For Two Years	231
4	Final Refusal	426
6	Interview, Prev Year Missed	280
7	Final Refusal	496
8	Missed GT 1 Wave	8
-2	Does not apply	9238
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	417673

result for gap-surveys (retrospective questionnaire for individuals with temporary dropout in previous year.

**muki** – Result Children Survey

1	children survey implemented	4980
2	children survey not processed, reason unknown	810
4	children survey explicitly refused	1397
-2	Does not apply	5252
-5	Not Included In Questionnaire Version	58795
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	383050

result for „muki“-instrument

#### vmb – Result Of Assets Interview

---

1	Assets Successful Interview	4566
2	Assets Not Intrviewed	210
4	HH Not Found	38
5	XXX - Unknown	589
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	448881

result for wealth-questionnaire

#### udi – Improperly conducted interviews

---

1	Postprocessing with partially realized households	27
2	Postprocessing with completely realized household	47
3	Postprocessing with failure	36
4	No postprocessing	31
5	regular reassignment to complete the households	65
6	regular reassignment for processing temporary drop-outs	74
-2	Does not apply	26431
-5	Not Included In Questionnaire Version	20751
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	406822

This variable contains information on improperly conducted interviews

#### haapor – [de] AAPOR Klassifizierung

---

1110	[de] (1.11) Complete (without refusal for next wave)	13840
1120	[de] (1.12) Complete (refusal for next wave)	106
1210	[de] (1.21) Partial (without refusal for next wave)	5061
1220	[de] (1.22) Partial (refusal for next wave)	25
2111	[de] (2.111) Household-level refusal	2330
2200	[de] (2.20) Non-contact	798
2310	[de] (2.31) Dead	61
2321	[de] (2.321) Temporary physically or mentally unable/incompetent	82
2322	[de] (2.322) Permanently physically or mentally unable/incompetent	121
2331	[de] (2.331) Household-level language problem	15
2351	[de] (2.351) Soft refusal (currently not willing, no time)	763

2353	[de] (2.353) Missing household interview and at least one person interview	57
2354	[de] (2.354) Lack of data	6
2400	[de] (2.4) New address after field period	132
2520	[de] (2.52) Other temporary refusal	3
3110	[de] (3.11) Not attempted or worked	45
3180	[de] (3.18) Unable to locate address	42
3300	[de] (3.3) Not worked since deleted at a later date	0
4000	[de] (4.0) Not Eligible	0
4200	[de] (4.2) Household moved abroad	55
4300	[de] (4.3) Household dissolved	25
4400	[de] (4.4) Household untraceable	357
4510	[de] (4.51) Business, government office, other organization	0
4910	[de] (4.91) Duplicate in actual sample or in other soep samples	0
4920	[de] (4.92) Post-office box address	0
-1	No answer	0
-2	Does not apply	0
-3	Not valid	0
-5	Not Included In Questionnaire Version	0
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	430360

## 8 Work Form Information

### split – Starting Work Form

---

10	Interviewer - Participant 2016	292725
11	Interviewer East	3353
12	first work (sample I) after non-response sample	221
13	Interviewer/2016 realized in CAWI	17
19	HH Not Processed, Under In come Lev	218
61	Telephone - temporary absence 2016	2320
62	CAWI - Processing/2016 temporary absence by interviewer	1131
63	CAWI - Processing/2016 temporary absence in CAWI	604
66	Interviewer/Mail - temporary absence 2016	14615
68	[de] Div. Bearbeitung - Nachtraeglich wieder im Brutto 2017	78
69	Interview. Survey, Code 61,66,81,8	1395
70	Telephone/Mail - Participant 2016 with which refusal 2017	1847
75	2017 no processing - Relocated Abroad	95
76	2017 no processing - deceased	179
77	2017 no processing - relocated to other panel hh	25
78	2017 no processing - participant 2016 with hard refusal in 2017	1546
79	2017 no processing - temporary absence prev. year, diverse reasons	27
81	Telephone - Participant 2016	24448
82	CAWI- Processed/2016 realized by Interviewer	3864
83	CAWI-Processed/2016 realized in CAWI	4250
88	Tel. Survey, Tel. Interview	123
89	Mail - Participant 2016	13874
90	Div. Processing - New Households 2017	38643
99	New HH, Fieldwork in initial shipm	12
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	48674

This variable indicates the starting work form for the household.

### hform1 – Interview Work Form 1

---

1	Interview Work Form (PAPI or Absence)	218928
2	Interview After Pos. Tel	73
3	Writ. Mail Intv. After Pos. Tel. Contact	28377
4	negative tel.contact	8597
5	Interview Work Form (Telephone Interview)	165
6	Written-Postal Without Tel. Contact	17476
8	No Interview	2078
9	Interview Work Form (CAPI)	139516
10	CAWI	9229
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	29845

This variable indicates the household's main work form/ survey type.

### hform2 – First Re-Survey, Type Of Survey

---

1	Only Interviewer	1539
2	Interview After Pos. Tel	45
3	Written After Pos. Telephone	910
4	Negative Tel. Contact	940
5	phone interview	67
6	Written-Postal	206
7	Mixed Type	152
-1	No answer	9
-2	Does not apply	38885
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	411531

This variable indicates the household's main work form/ survey type.

### hformv – Type Of Survey Previous Year

---

1	Only Interviewer	34315
2	Interview. After Pos. Telephone	643
3	Written After Pos. Telephone	2724
4	Negative Tel. Contact	488
5	phone interview	355
6	Written-Postal	189
7	Mixed Type	166
8	No Interview	60
-2	Does not apply	3813
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	411531

**herg1** – Interview Work Result, 1

0	Partly Successful	23965
1	Fully Successful	337082
2	unsuccessful at the time	9831
3	unwilling then	22897
4	Final Refusal	22629
5	Moved To Foreign Country	1062
6	Has Died	1510
7	hh dissolved because moved to other panel hh	524
8	Address not found during interview period	3239
9	address of hh not found	1482
99	HH without processing (G) under income threshold	218
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	29845

This variable indicates the household's main survey result.

**hforms** – Result Of Survey

1	Interview Work Form (PAPI or Absence)	217478
2	Interview After Pos. Tel	123
3	Writ. Mail Intv. After Pos. Tel. Contact	30925
4	negative tel.contact	8946
5	Interview Work Form (Telephone Interview)	271
6	Written-Postal Without Tel. Contact	24214
7	Mixed Type	386
8	No Interview	2268
9	Interview Work Form (CAPI)	157710
10	CAWI	6042
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	5921

This variable indicates the household's survey result.

**hergs\_v1** – Result of Processing [1985-1994]

0	Partly Successful	2037
1	Fully Successful	56520
2	Unsuccessful At The Time	760
3	Unwilling Then	1433
4	Final Refusal	2843
5	Moved To Foreign Country	285
6	Has Died	297
7	Final Refusal HH. Dissolved	112
8	HH Not Reached	363
9	HH Not Found	227
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	389407

This variable indicates the household's survey result in one digit coding.

### hergs\_v2 – Result of Processing [since 1985]

---

1	Part. Success., Without Comments	27295
2	Part. Success., Next Wave Not Willing	340
3	Part. Success., Next Wave Under No Circumstances Willing	356
11	Success, Without Comments for Following Wave	341220
12	Success, Next Wave Not Willing	1698
13	Realized, under no circumstances willing for the next wave	1166
14	Success, But Person With Status = 7 (Hard Refusal) In HH	16639
21	Not realized, Old and Sick	173
22	Unsuccess., Closing Phase Not Reached	310
23	Unsuccess., Foreign: In Country of Origin for Longer Period	97
24	Not realized Illness/hospital beyond field end	408
25	Not realized, Not Reachable During Time of Fieldwork	3867
26	Not realized, Mental Incapacity / Questionnaire not evaluable	287
27	Unsuccess., 2 times temporary absence	170
29	Not realized, other/unclear cases	91
...	(13 rows omitted)	46877
49	Single HH Not Processed	112
50	Moved To Foreign Country	1174
60	Has Died	1655
70	Final Refusal HH. Dissolved	595
81	Address problem, second home, address not found	38
82	Address problem, always on the go, traveling	21
83	Address problem, mostly lived with friends/ other family members	18
84	Address problem, address not located within field period	826
85	Address problem, new address located, but after field period	658
89	Address problem, other cases	1
90	address of hh not found	2048
98	Neutral Drop Out	5
99	HH Not Processed, Under Income Lev	218
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	5921

This variable indicates the household's survey result in one digit coding.

### hstu – Number of Process Stages

---

0	No Interview	2236
1	Processed 1 Stage	427199
2	Processed 2 Stage	18119
3	Processed 3 Stage	776
-1	No answer	33
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	5921

Processing Stage. This variable indicates to which stage the household's survey result (\$hergs) refers to.

**hergs\_erw** – Extended Result of Processing

---

1	Part. Success., Without Comments	1479
3	Part. Success., Next Wave Under No Circumstances Willing	50
4	[de] Teilw. realisiert obwohl Adresse gesperrt (Ueberschneidung m. Bruttoreduzierung)	16
11	Success, Without Comments for Following Wave	3154
13	Realized, under no circumstances willing for the next wave	55
39	Not ready, other/unclear cases	16
111	[de] Realisiert obwohl Adresse gesperrt (Ueberschneidung mit Bruttoreduzierung)	29
141	Success, but Person with Response Status = 9 in HH	9
-5	Not Included In Questionnaire Version	40186
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	409290

further results on interview participation (HERGS)

**hfort** – Follow-up data

---

1	Update of Personal Data Necessary	2539
-2	Does not apply	56626
-5	Not Included In Questionnaire Version	33291
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	361828

variable indicating extrapolation of individual data

**herg2** – Survey Result First Re-Survey

---

0	Partly Successful	2511
1	Fully Successful	1635
2	Unsuccessful At The Time	497
3	Unwilling Then	452
4	Final Refusal	688
5	Moved Out Of Germany	17
6	Has Died	14
7	hh dissolved	8
8	HH Not Reached	164
9	HH Not Found	46
-2	Does not apply	36721
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	411531

**hergv** – Survey Results Previous Year

---

0	Partly Successful	5647
1	Comp. Success. Without Comments	753
2	Comp. Success. Not Next Wave	17
3	Comp. Success. Not Next Wave	14
10	Fully Successful	5866

11	Comp. Success. Without Comments	25638
12	Comp. Success. Not Next Wave	193
13	Comp. Success. Not Next Wave	58
21	Unsucc. Old And Sick	59
22	Unreachable	136
23	Unsucc. Abroad	45
24	Absent In Hospital	17
25	Unsucc. Unreachable	63
26	Cannot Process	11
31	Not Ready, Despite Telephone Assurance	173
32	Not Ready, Will Respond In Later Waves	333
81	Could Not Locate 2 Residences, Not Found	38
82	Could Not Locate Always Traveling	12
83	Could Not Locate Living With Friends	8
84	HH Not Found	123
85	Could Not Locate New Address	17
-2	Does not apply	3532
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	411531

## 9 Incentive

### inckontr\_v1 - Incentive code [2011]

---

1	Incentivized Households	809
2	Control Group	809
-2	Does not apply	12059
-5	Not Included In Questionnaire Version	5362
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	435245

This variable indicates whether the household was chosen to take part in an experimental setting.

### inckontr\_v2 - Incentive code [2012-2015]

---

1	Incentiv. HH, moderate cash(5+10)	4269
2	Control Group	1901
3	Incentiv. HH, low cash(5+5)	1612
-2	Does not apply	45389
-5	Not Included In Questionnaire Version	26201
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	374912

This variable indicates whether the household was chosen to take part in an experimental setting.

### inckontr\_v3 - Incentive code [since 2016]

---



1	Experimental Group (Cash)	1787
2	Control Group	1600
4	Incentives Changer (From Lottery Ticket to Cash)	122
-2	Does not apply	33406
-5	Not Included In Questionnaire Version	31617
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	385752

This variable indicates whether the household was chosen to take part in an experimental setting.

### vodere – Choice of Incentive

---

1	Check for Deposit Only (incent. HHs)	830
2	Shopping Voucher (incent. HHs)	121
3	prefered lottery ticket	3
-2	Does not apply	65894
-5	Not Included In Questionnaire Version	31563
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	355873

Choice of incentives

### incentive\_v1 – Kind of Incentive [2019]

---

0	[de] kein Incentive	302
1	[de] Los	5427
2	[de] Cash	13610
3	[de] Gutschein	697
4	[de] Spende	1960
5	[de] Sachgeschenk	1
-1	No answer	0
-2	Does not apply	1927
-3	Not valid	0
-5	Not Included In Questionnaire Version	0
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	430360

### incentive\_v2 – Incentive model [2019]

---

1	[de] 5 EUR Los pro Personeninterview	5427
2	[de] 5 EUR pro Haushaltsinterview und 10 EUR pro Personen- bzw. Jugendinterview	10325
3	[de] 5 EUR pro Fragebogen und 10 EUR Vollständigkeitsprämie	1592
4	[de] 15 EUR pro Personeninterview mit integriertem HH-Teil und 10 EUR für Personeninterview	0
5	[de] 15 EUR pro Haushalt	4351
-1	No answer	0
-2	Does not apply	2229
-3	Not valid	0
-5	Not Included In Questionnaire Version	0

-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	430360

## 10 Additional Information

**hand** – Special Case, See Address Log

---

1	special case because of accessibility	469
2	Address Research	53
6	Chg, New SAMPREG	3598
7	Chg, old SAMPREG	195
9	Chgnow SAMPREG	758
-1	No answer	10
-2	Does not apply	350611
-3	Not valid	1506
-5	Not Included In Questionnaire Version	19929
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	77155

Additional information on the accessibility of the household are saved in this variable.

**vorab** – Completeness Of Preliminary Version

---

1	HH With All Person Interviews	6839
2	HH Only Part. Success	658
-2	Does not apply	5913
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	440874

variable on preliminary data version

**inputdataset** – Source dataset

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ahbrutto	5921
bahbrutto	17538
bbhbrutto	19039
bchbrutto	19321
bdhbrutto	20962
behbrutto	19699
bfhbrutto	19390
bghbrutto	21222
bhbrutto	6142
bhhbrutto	23772
bihbrutto	23538
bjhbrutto	23924
chbrutto	5878
dhbrutto	5512
ehbrutto	5403
...	(6 rows omitted)
	41942

lhbrutto	7717
mhbrutto	7529
nhbrutto	7423
ohbrutto	8426
phbrutto	8299
qhbrutto	14053
rhbrutto	14035
shbrutto	14376
thbrutto	13756
uhbrutto	13117
vhbrutto	12836
whbrutto	14059
xhbrutto	13410
yhbrutto	12651
zhbrutto	13394

### sonder – Preliminary Special features for preliminary delivery

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1	Additional Not Yet Collected P-Interview	50
-2	Does not apply	13360
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	440874

variable on preliminary data version

### spielhh – HH In Brutto For Experiment

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1	HH With Participant 1	2116
2	HH With Participant 2	63
3	HH With Participant 3	2121
4	HH With Participant 4	63
-2	Does not apply	35346
-7	Only available in less restricted edition	0
-8	Question not part of the survey program this year	414575

variable on experiments and tests

### schicht – Stratification (company values)

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1	[de] 3. (1/300)-Quantil	336
2	[de] 2. (1/300)-Quantil	587
3	[de] 1. (1/300)-Quantil	1037
-1	No answer	0
-2	Does not apply	0
-3	Not valid	0
-5	Not Included In Questionnaire Version	21964
-8	Question not part of the survey program this year	430360